

MAY 15 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: G. Stephen LeGraw Examiner: Timothy M. Harbeck
Serial No.: 09/754,492 Group Art Unit: 3628
Filed: January 4, 2001 Docket No.: S144.101.102
Title: INFORMATION TRADING SYSTEM AND METHOD

CERTIFICATE OF TRANSMISSION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the following paper(s) are being facsimile transmitted to the U.S. Patent and Trademark Office, Fax. No. (571) 273-8300 on the date shown below:

Transmittal Containing Certificate of Mailing (1 pg.)
Amendment and Response (23 pgs.)

Date: May 15, 2006
SED: hsf

By: Steven E. Dicke
Name: Steven E. Dicke (Reg. No. 38,431)

25 Pages (including cover page)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Examiner: Timothy M. Harbeck

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Title: INFORMATION TRADING SYSTEM AND METHOD

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet containing Certificate of Mailing under 37 C.F.R. 1.8
- ☒ Amendment and Response 23 pgs.
- ☐ Petition for a Extension of Time 1 pg.
- ☐ The Commissioner is authorized to charge \$0.00 to Deposit Account No. 500471 to cover any additional claims fees
- ☐ The Commissioner is authorized to charge to Deposit Account No. 500471 to cover the Extension of Time fees
- ☐ Other: _____
- ☐ Other Fees: The Commissioner is authorized to charge \$0.00 to Deposit Account No. 500471 to cover _____
- ☐ Return Postcard

Claims as Amended:					
	Claims Remaining After Amendment	Highest Number Previously Paid For	Extra	Rate	Fee
TOTAL CLAIMS	60		0	X \$25.00	\$0.00
INDEPENDENT CLAIMS	5		0	X \$100.00	\$0.00
MULTIPLE CLAIMS PRESENTED	No				\$0.00
TOTAL					\$0.00

Customer No. 025281

By



Name: Steven E. Dicke (Reg. No. 38,431)

Please consider this a Petition for Extension of Time for a sufficient number of months to enter these papers, if appropriate. At any time during the pendency of this application, please change any additional fees or credit overpayment to Deposit Account No. 500471.

CERTIFICATE OF FACSIMILE TRANSMISSION:

Date of Transmission: May 15, 2006

The undersigned hereby certifies that this paper or papers, as described herein, are being transmitted by facsimile to the U.S. Patent and Trademark Office, Fax No. (571) 273-8300.



Name: Steven E. Dicke

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Title:	INFORMATION TRADING SYSTEM AND METHOD		

AMENDMENT AND RESPONSE

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Amendment and Response is in reply to the Non-Final Office Action mailed February 14, 2006. Please amend the above-identified patent application as follows: